

STATE OF CONNECTICUT  
SURPLUS LINES BROKER BOND



BOND #

KNOW ALL MEN BY THESE PRESENTS

That we, \_\_\_\_\_ of the County of \_\_\_\_\_  
(Name of Individual)  
\_\_\_\_\_ State of \_\_\_\_\_  
as Principal, and \_\_\_\_\_, a surety company having its principal  
place of business in \_\_\_\_\_ in the County of \_\_\_\_\_  
\_\_\_\_\_ State of \_\_\_\_\_ duly authorized to  
do business in the State of Connecticut, as Surety, are held and firmly bound unto the State of Connecticut and/or the people of the State  
of Connecticut, as Obligees, in the sum of twenty-five thousand dollars (\$25,000) for the payment of which sum the said Principal and  
Surety do jointly and severally bind themselves, their heirs, executors, administrators, successors, and assigns, and each and every one of  
them firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT WHEREAS, the Principal has made application to the  
Insurance Commissioner of the State of Connecticut for a license to engage in the business of a Surplus Lines Broker in accordance with  
the provisions of Sections 38a-794 to and including 38a-795 of the Connecticut General Statutes and any regulation promulgated  
thereunder, for the period commencing \_\_\_\_\_ and ending at midnight \_\_\_\_\_  
\_\_\_\_\_. (END DATE IS LICENSE EXPIRATION DATE - SEE NOTE)

NOTE: The bond required of each applicant must reflect an effective date no earlier than date of mailing of application/bond  
form to the Insurance Department, with an ending date of September 30<sup>th</sup> of the current or forthcoming even-numbered year. Surplus  
Lines Broker licenses expire September 30<sup>th</sup> of each even-numbered year.

NOW THEREFORE, if the said Insurance Commissioner shall grant the application and issue the license above referred to  
and the Principal shall faithfully comply with all the requirements of the said Connecticut General Statutes Section 38a-794 to and  
including 38a-795 and any regulations promulgated thereunder then this obligation shall be null and void; otherwise, to remain in full  
force and effect.

PROVIDED HOWEVER, that all obligations upon this bond shall cease at midnight upon \_\_\_\_\_  
\_\_\_\_\_ except as to such liability as shall have been accrued thereto.

IN WITNESS WHEREOF, the said Principal and Surety have signed and sealed this instrument this  
\_\_\_\_\_ day of \_\_\_\_\_.

WITNESS

\_\_\_\_\_  
(As to Principal)

By \_\_\_\_\_ L.S.

\_\_\_\_\_  
L.S.

\_\_\_\_\_  
(As to Surety)

\_\_\_\_\_  
L.S. Corporate Seal

By \_\_\_\_\_ L.S.

\_\_\_\_\_  
L.S. ATTORNEY-IN-FACT